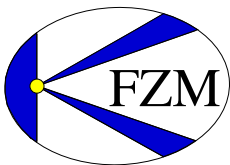


CFS as a Web of Correlations

Dr. Claudio Paganini

Joint work with Patrick Fischer



Causal Fermion Systems 2025, Regensburg

Goals

- ▶ Provide an impressionistic rapid fire sketch of a complete physical interpretation of the theory

Causal Fermion Systems

Definition (Causal fermion system)

Let $(\mathcal{H}, \langle \cdot | \cdot \rangle_{\mathcal{H}})$ be Hilbert space

Given parameter $n \in \mathbb{N}$ (“**spin dimension**”)

$\mathcal{F} := \left\{ x \in L(\mathcal{H}) \text{ with the properties:} \right.$

- ▶ x is **symmetric** and has **finite rank**
- ▶ x has **at most n positive**
and **at most n negative eigenvalues** $\left. \right\}$

ρ a measure on $\mathcal{F}$

Causal structure

Let $x, y \in \mathcal{F}$. Then

$x \cdot y \in L(H)$ has non-trivial **complex** eigenvalues $\lambda_1^{xy}, \dots, \lambda_{2n}^{xy}$

Definition (causal structure)

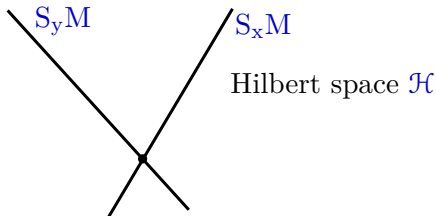
The points $x, y \in \mathcal{F}$ are called

{	spacelike separated	if $ \lambda_j^{xy} = \lambda_k^{xy} $ for all $j, k = 1, \dots, 2n$
	timelike separated	if $\lambda_1^{xy}, \dots, \lambda_{2n}^{xy}$ are all real and $ \lambda_j^{xy} \neq \lambda_k^{xy} $ for some j, k
	lightlike separated	otherwise

Inherent Structures

- For $x \in M$, the **eigenspace** of x is the vector space $S_x M$.
→ **Spinors**

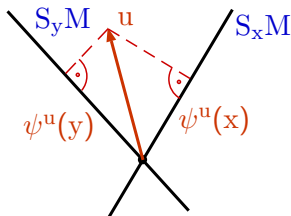
$$S_x M := x(\mathcal{H}) \subset \mathcal{H} \quad \text{“spin space”, } \dim S_x M \leq 2n$$



Inherent Structures

► Physical wave functions:

$\psi^u(x) = \pi_x u$ with $u \in \mathcal{H}$ physical wave function
 $\pi_x : \mathcal{H} \rightarrow \mathcal{H}$ orthogonal projection on $x(\mathcal{H})$



Subsystems

$\tilde{\mathcal{H}} \supset \mathcal{H}$ an auxiliary Hilbertspace $\Omega = \sum_{i=1}^N |u_i\rangle \langle u_i| : \tilde{\mathcal{H}} \rightarrow \mathcal{H}$ a projection with $(|u_i\rangle)_i$ orthonormal basis.

$$\text{tr}_{\tilde{\mathcal{H}}} [\Omega] = \text{tr}_{\mathcal{H}} [\text{id}_{\mathcal{H}}] = \dim \mathcal{H} = N$$

Total physical system: $(\mathcal{H}, \Omega)$

Subsystems

$\tilde{\mathcal{H}} \supset \mathcal{H}$ an auxiliary Hilbertspace $\Omega = \sum_{i=1}^N |u_i\rangle \langle u_i| : \tilde{\mathcal{H}} \rightarrow \mathcal{H}$ a projection with $(|u_i\rangle)_i$ orthonormal basis.

$$\text{tr}_{\tilde{\mathcal{H}}} [\Omega] = \text{tr}_{\mathcal{H}} [\text{id}_{\mathcal{H}}] = \dim \mathcal{H} = N$$

Total physical system: $(\mathcal{H}, \Omega)$

Definition ((Sub-)System)

Let $(\mathcal{H}, \mathcal{F}_n, \rho)$ be a CFS, $\tilde{\mathcal{H}}$ an auxiliary Hilbert space and $\mathcal{H}_A \subseteq \mathcal{H}$ a sub Hilbert space of $\mathcal{H}$. Then a subsystem is the tuple $(\mathcal{H}_A, \omega_A)$, where $\omega_A : \tilde{\mathcal{H}} \rightarrow \mathcal{H}_A$ is the projection onto $\mathcal{H}_A$. Further, the particle number of a subsystem $(\mathcal{H}_A, \omega_A)$ is defined as $\text{tr}_{\tilde{\mathcal{H}}} \omega_A$. We call $(\mathcal{H}, \Omega)$ the total system.

One-particle subsystem: $(\text{span} \{|u\rangle\}, |u\rangle \langle u|)$

Pauli Exclusion Principle

Definition (Occupation number)

Let $|u\rangle \in \tilde{\mathcal{H}}$ be a state and $(\mathcal{H}_A, \omega_A)$ a (sub-)system, then the occupation number of $|u\rangle$ in $(\mathcal{H}_A, \omega_A)$ is defined as $\langle u | \omega_A u \rangle$.

Pauli Exclusion Principle

Definition (Occupation number)

Let $|u\rangle \in \tilde{\mathcal{H}}$ be a state and $(\mathcal{H}_A, \omega_A)$ a (sub-)system, then the occupation number of $|u\rangle$ in $(\mathcal{H}_A, \omega_A)$ is defined as $\langle u | \omega_A u \rangle$.

Proposition (Pauli Exclusion Principle)

Let $|u\rangle \in \tilde{\mathcal{H}}$ be a state and $(\mathcal{H}_A, \omega_A)$ a (sub-)system, then the occupation number satisfies

$$0 \leq \langle u | \omega_A u \rangle \leq 1. \quad (1)$$

Fundamental Observables in CFS

Goal: work only with structures inherent to CFS.

Fundamental Observables in CFS

Goal: work only with structures inherent to CFS.

Definition (Position Observables)

Let $(\mathcal{H}, \mathcal{F}_n, \rho)$ be a CFS and $\mathcal{U} \subseteq \mathcal{F}_n$, then the observable of the region $\mathcal{U}$ is the operator

$$\mathcal{O}(\mathcal{U}) := \int_{\mathcal{U}} \pi_x d\rho(x). \quad (2)$$

The expectation value of an operator $\mathcal{O} : \mathcal{H} \rightarrow \mathcal{H}$ for a (sub-) system (A, ω_A) is defined as

$$\langle \mathcal{O} \rangle_{\omega_A} := \frac{1}{2n} \operatorname{tr}_{\mathcal{H}} [\omega_A \mathcal{O}(\mathcal{U})]. \quad (3)$$

Properties of the Observables

Proposition

Let $\mathcal{U} \subseteq \mathcal{F}_n$, then the observable of $\mathcal{U}$ satisfies

- ① $\langle \mathcal{O}(\mathcal{U}) \rangle_{\omega_A} \geq 0$ for every subsystem $(\mathcal{H}_A, \omega_A)$,
- ② $\mathcal{O}(\mathcal{U}) = 0 \Leftrightarrow M \cap \mathcal{U} = 0$.

Properties of the Observables

Proposition

For a subset of the regular correlation operators $\mathcal{U} \subset \mathcal{F}_n^{\text{reg}}$, the expectation value of the position observable $\mathcal{O}(\mathcal{U})$ for the total system $(\mathcal{H}, \Omega)$ is the volume of the spacetime region $\mathcal{U} \cap M$

$$\langle \mathcal{O}(\mathcal{U}) \rangle_{\Omega} = \rho(\mathcal{U}).$$

Spacetime Superposition

Definition

Let $(\mathcal{H}_A, \omega_A)$ be a (sub-) system, then the measure assigned to this (sub-) system is defined by

$$\rho_{\omega_A}(\mathcal{U}) := \langle \mathcal{O}(\mathcal{U}) \rangle_{\omega_A} \quad \text{for measurable } \mathcal{U} \subseteq \mathcal{F}$$

and the corresponding spacetime is defined by

$$M_{\omega_A} := \text{supp } \rho_{\omega_A}.$$

Spacetime Superposition

Proposition

Let $(|u_i\rangle)_i$ be a basis of $\mathcal{H}$, then the following statements hold

- ① $\sum_{i=1}^N \rho_i(\mathcal{U}) = \rho(\mathcal{U})$ for every measurable subset $\mathcal{U} \subseteq \mathcal{F}^{\text{reg}}$,
- ② $\bigcup_{i=1}^N M_i = M.$

Spacetime Superposition

Proposition

Let $(|u_i\rangle)_i$ be a basis of $\mathcal{H}$, then the following statements hold

- 1 $\sum_{i=1}^N \rho_i(\mathcal{U}) = \rho(\mathcal{U})$ for every measurable subset $\mathcal{U} \subseteq \mathcal{F}^{\text{reg}}$,
- 2 $\bigcup_{i=1}^N M_i = M.$

Corollary

Let M_1 and M_2 , $M_1 \cap M_2 \neq \emptyset$, be two one-particle spacetimes. The causal relation between $x, y \in M_1 \cap M_2$ is independent of whether we consider them as points in M_1 or as points in M_2 .

(De)localization of States

Definition (Localization of States)

A state u_i is localized in a spacetime region $\mathcal{U} \subset M$ if

$$\{x \in M_i | \exists y \in \mathcal{U} \text{ such that } x \text{ and } y \text{ are causally separated} \} = M_i.$$

Definition (Delocalized States)

A state u_i is said to be delocalized if

$$M_i = M$$

The Fermionic Projector

Working with the fermionic projector is more convenient

$$P(x, y) := \pi_x y|_{S_y} = \sum_{i=1}^N |\psi^{u_i}(x) \succ \prec \psi^{u_i}(y)| : S_y \rightarrow S_x .$$

The closed chain

$$A_{xy} := P(x, y) P(y, x) = \pi_x y \pi_y x : S_x \rightarrow S_x$$

has the same Eigenvalues as the operator product xy .

Causal Correlations

Definition (Causal Correlation Operator)

Let $x, y \in M$, A_{xy} the closed chain between x and y as defined above, then the causal correlation operator is defined as

$$\tilde{A}_{xy} : S_x \rightarrow S_x = \begin{cases} 0 & \text{if } x \text{ and } y \text{ spacelike separated} \\ A_{xy} & \text{otherwise.} \end{cases} \quad (4)$$

Correlation Strength

Definition (One-Particle-Two-Point-Correlation-Strength)

Let $|u\rangle \in \mathcal{H}$ and $x, y \in M$, then the one-particle-two-point-correlation-strength $b_u(x, y)$ is defined as

$$b_u(x, y) := \frac{1}{2n} \operatorname{tr}_{S_x} \left[|u\rangle \langle u| \tilde{A}_{xy} \right]. \quad (5)$$

Correlation Strength

Definition (One-Particle-Two-Point-Correlation-Strength)

Let $|u\rangle \in \mathcal{H}$ and $x, y \in M$, then the one-particle-two-point-correlation-strength $b_u(x, y)$ is defined as

$$b_u(x, y) := \frac{1}{2n} \operatorname{tr}_{S_x} \left[|u\rangle \langle u| \tilde{A}_{xy} \right]. \quad (5)$$

Definition (Two-Point-Correlation-Strength)

Let $(|u_i\rangle)_i$ be a basis of $\mathcal{H}$, $x, y \in M$, then the two-point-correlation-strength is defined as

$$b(x, y) := \sum_{i=1}^N b_{u_i}(x, y) = \left\langle \tilde{A}_{xy} \pi_x \right\rangle_{\Omega} = \frac{1}{2n} \operatorname{tr}_{S_x} \tilde{A}_{xy}. \quad (6)$$

The Principle of Minimal Fluctuations

Proposition

Let $P(x, y)$ be the kernel of the fermionic projector of the scalar-vector-form, then the Lagrangian is given by

$$\mathcal{L}(x, y) = 4 \operatorname{Var}_{\Omega} [\tilde{A}_{xy}] := 4 \left\langle \left(\tilde{A}_{xy} - \langle \tilde{A}_{xy} \rangle_{\Omega} \right)^2 \right\rangle_{\Omega} \quad (7)$$

The Principle of Minimal Fluctuations

Proposition

Let $P(x, y)$ be the kernel of the fermionic projector of the scalar-vector-form, then the Lagrangian is given by

$$\mathcal{L}(x, y) = 4 \operatorname{Var}_{\Omega} [\tilde{A}_{xy}] := 4 \left\langle \left(\tilde{A}_{xy} - \langle \tilde{A}_{xy} \rangle_{\Omega} \right)^2 \right\rangle_{\Omega} \quad (7)$$

If we consider the correlation strength to be a proxy for causal distance then this amounts to a principle of minimal fluctuation for the causal structure of the one-particle spacetimes.

Description of Experiments

- ① How do you test a system that comprises the entire universe from within itself?
- ② How does a purely relational theory give rise to our conventional experience of time and space?

The Probe-Background Split

Split the total physical system $(\mathcal{H}, \Omega)$ into a probe $(\mathcal{H}_p, \omega_p)$ and the background $(\mathcal{H}_b, \omega_b)$ such that

$$\mathcal{H} = \mathcal{H}_p \oplus \mathcal{H}_b \quad \text{and} \quad \Omega = \omega_p + \omega_b \quad (8)$$

with $\dim(\mathcal{H}_p) \ll \dim(\mathcal{H}_b)$.

The Probe-Background Split

Split the total physical system $(\mathcal{H}, \Omega)$ into a probe $(\mathcal{H}_p, \omega_p)$ and the background $(\mathcal{H}_b, \omega_b)$ such that

$$\mathcal{H} = \mathcal{H}_p \oplus \mathcal{H}_b \quad \text{and} \quad \Omega = \omega_p + \omega_b \quad (8)$$

with $\dim(\mathcal{H}_p) \ll \dim(\mathcal{H}_b)$.

Find a macroscopic continuum limit description of $(\mathcal{H}_b, \omega_b)$ in terms of emergent variables $g_{\mu\nu}, A_\mu, \dots$ via the local correlation map $F[g_{\mu\nu}, A_\mu, \dots] : \mathcal{M} \rightarrow \mathcal{F}_n$.

The Probe-Background Split

Split the total physical system $(\mathcal{H}, \Omega)$ into a probe $(\mathcal{H}_p, \omega_p)$ and the background $(\mathcal{H}_b, \omega_b)$ such that

$$\mathcal{H} = \mathcal{H}_p \oplus \mathcal{H}_b \quad \text{and} \quad \Omega = \omega_p + \omega_b \quad (8)$$

with $\dim(\mathcal{H}_p) \ll \dim(\mathcal{H}_b)$.

Find a macroscopic continuum limit description of $(\mathcal{H}_b, \omega_b)$ in terms of emergent variables $g_{\mu\nu}, A_\mu, \dots$ via the local correlation map $F[g_{\mu\nu}, A_\mu, \dots] : \mathcal{M} \rightarrow \mathcal{F}_n$.

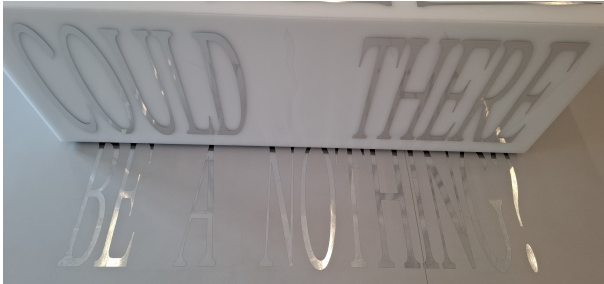
In experiments, we study the evolution of a probe with respect to the web of correlations spanned by the background.

The Probe-Background Split

Two caveats:

- 1 The web of correlation spanned by the background subsystem $(\mathcal{H}_b, \omega_b)$ has to admit an approximate effective description in terms of a continuum limit.
- 2 To be able to study the evolution of a system in a laboratory, we have to be able to meaningfully localize the probe $(\mathcal{H}_p, \omega_p)$ on the scale of the experiment.

Summary and Conclusion



Summary and Conclusion



There is nowhere where there is nothing!

But there are many places where nothing can be
localized.