

Sharp KMS-inequalities in all dimensions

joint work with P. Lewintan & P. Neff

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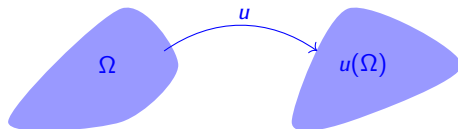
Seminar Mathematical Physics, Regensburg, Nov 29, 2022

Joint work with ...



Patrizio Neff & Peter Lewintan (Duisburg-Essen)

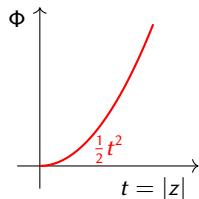
Elasticity and Korn inequalities



- Symmetric gradient: $\varepsilon(u) := \frac{1}{2}(Du + Du^T)$
- Trace-free symmetric gradient: $\varepsilon^D(u) := \varepsilon(u) - \frac{1}{n} \operatorname{div}(u) \mathbf{1}_n$

$$\text{minimise } \mathcal{F}[u] := \int_{\Omega} \Phi(|\varepsilon^D(u)|) dx + \frac{1}{2} \int_{\Omega} |\operatorname{div}(u)|^2 dx - \int_{\Omega} F \cdot u dx$$

subject to suitable side constraints (forces, tensions)



Korn inequalities: $1 < p < \infty$

$$\|Du\|_{L^p(\mathbb{R}^n)} \lesssim \|\varepsilon(u)\|_{L^p(\mathbb{R}^n)}$$

$$\|Du\|_{L^p(\mathbb{R}^n)} \lesssim \|\varepsilon^D(u)\|_{L^p(\mathbb{R}^n)}$$

Korn & KMS: Introduction and context

Let $\mathcal{A}: \mathbb{R}^{n \times n} \rightarrow \mathbb{R}^{n \times n}$ be linear. In general, for $1 < p < \infty$

$\|P\|_{L^p(\mathbb{R}^n)} \lesssim \|\mathcal{A}[P]\|_{L^p(\mathbb{R}^n)}$ for all $P \in C_c^\infty(\mathbb{R}^n; \mathbb{R}^{n \times n})$ **is impossible.**

If we impose more structure...

... and e.g. require P to be curl-free, so $P = \nabla u$ for $u: \mathbb{R}^n \rightarrow \mathbb{R}^n$, then

$$\|P\|_{L^p(\mathbb{R}^n)} \lesssim \|\mathcal{A}[P]\|_{L^p(\mathbb{R}^n)} \iff \|\nabla u\|_{L^p(\mathbb{R}^n)} \lesssim \|\mathcal{A}[\nabla u]\|_{L^p(\mathbb{R}^n)},$$

and this can be true indeed!

Example (Classical Korn inequalities)

$$\checkmark \quad \mathcal{A}[P] = \text{sym}(P) \rightsquigarrow \|\nabla u\|_{L^p(\mathbb{R}^n)} \lesssim \|\varepsilon(u)\|_{L^p(\mathbb{R}^n)}$$

$$\checkmark \quad \mathcal{A}[P] = \text{sym}(P) - \frac{1}{n} \text{tr}(P) \mathbf{1}_n \rightsquigarrow \|\nabla u\|_{L^p(\mathbb{R}^n)} \lesssim \|\varepsilon^D(u)\|_{L^p(\mathbb{R}^n)}$$

→ **Goal: Introduce curl-correctors in** $\|P\|_{L^p(\mathbb{R}^n)} \lesssim \|\mathcal{A}[P]\|_{L^p(\mathbb{R}^n)}$!

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Additive correction by curl terms

Korn-Maxwell-Sobolev inequalities

Given

- the space dimension $n \in \mathbb{N}$,
- an integrability $1 \leq p \leq \infty$ and
- an algebraic part map $\mathcal{A}: \mathbb{R}^{n \times n} \rightarrow \mathbb{R}^{n \times n}$,

classify all constellations (n, p, \mathcal{A}) such that

$$\|P\|_{L^q(\mathbb{R}^n)} \leq c \left(\|\mathcal{A}[P]\|_{L^q(\mathbb{R}^n)} + \|\text{Curl}(P)\|_{L^p(\mathbb{R}^n)} \right) \quad \text{for all } P \in C_c^\infty(\mathbb{R}^n; \mathbb{R}^{n \times n}).$$

- Scaling determines q in terms of n and p , e.g. $1 \leq p < n \Rightarrow q = \frac{np}{n-p}$
- $P = \nabla u$ for $u \in C_c^\infty(\mathbb{R}^n)$ gives neoclassical Korn-type inequalities

$$\|\nabla u\|_{L^q(\mathbb{R}^n)} \leq c \|\mathbb{A}u\|_{L^q(\mathbb{R}^n)} \quad \text{for all } u \in C_c^\infty(\mathbb{R}^n; \mathbb{R}^n)$$

with $\mathbb{A}u := \mathcal{A}[\nabla u]$.

\rightsquigarrow already yields strong conditions on \mathcal{A} !

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Some history – up to now only special cases

- ✓ GARRONI, LEONI & PONSIGLIONE '10: $(n, p, \mathcal{A}) = (2, 1, \text{sym})$
 - ✓ CONTI & GARRONI '21: $(n, p, \mathcal{A}) = (3, 1, \text{sym})$
 - ✓ FXG & SPECTOR '21: $(n, p, \mathcal{A}) = (3, p, \mathcal{A})$
-
- ✓ LEWINTAN, MÜLLER & NEFF '21: (dev)sym-(dev)sym-curl, $p \neq 1$
 - ✓ (LEWINTAN &)NEFF '09– now: a wealth of related inequalities
-

Aims now:

Systematize these findings and explain the underlying mechanisms!

Terminology and examples

Let V, W be real, finite dimensional vector spaces, $\mathbb{A}_j \in \mathcal{L}(V; W)$ and

$$\mathbb{A}u := \sum_{j=1}^n \mathbb{A}_j \partial_j \quad \text{with Fourier symbol} \quad \mathbb{A}[\xi] := \sum_{j=1}^n \xi_j \mathbb{A}_j.$$

Definition (Notions of ellipticity)

We say that \mathbb{A} is

- **(\mathbb{R} -)elliptic** $\iff \mathbb{A}[\xi]: V \rightarrow W$ injective for all $\xi \in \mathbb{R}^n \setminus \{0\}$,
- **\mathbb{C} -elliptic** $\iff \mathbb{A}[\xi]: V + iV \rightarrow W + iW$ injective for all $\xi \in \mathbb{C}^n \setminus \{0\}$.

Example

Suppose that $u: \mathbb{R}^n \rightarrow \mathbb{R}^n$.

- Symmetric gradient: $\varepsilon(u) := \frac{1}{2}(Du + Du^\top)$ is \mathbb{C} -elliptic for all $n \geq 1$.
- Trace-free symmetric gradient: $\varepsilon^D(u) := \varepsilon(u) - \frac{1}{n} \operatorname{div}(u) \mathbf{1}_n$
 - \rightsquigarrow \mathbb{R} -elliptic $\iff n \geq 2$
 - \rightsquigarrow \mathbb{C} -elliptic $\iff n \geq 3$

Necessity of ellipticity

Suppose the validity of the KMS-inequality

$$\|P\|_{L^{p^*}(\mathbb{R}^n)} \lesssim \|\mathcal{A}[P]\|_{L^{p^*}(\mathbb{R}^n)} + \|\operatorname{Curl}(P)\|_{L^p(\mathbb{R}^n)}, \quad P \in C_c^\infty(\mathbb{R}^n; \mathbb{R}^{n \times n})$$

\Rightarrow For $P = Du$, $u \in C_c^\infty(\mathbb{R}^n; \mathbb{R}^n)$, obtain

$$\|Du\|_{L^{p^*}(\mathbb{R}^n)} \lesssim \|\mathcal{A}[Du]\|_{L^{p^*}(\mathbb{R}^n)}, \quad u \in C_c^\infty(\mathbb{R}^n; \mathbb{R}^{n \times n})$$

$\Rightarrow \mathbb{A}u := \mathcal{A}[Du]$ **must be elliptic**: If not, there exist

$$\xi \in \mathbb{R}^n \setminus \{0\} \quad \text{and} \quad v \in \mathbb{R}^n \setminus \{0\}: \quad \mathbb{A}[\xi]v = 0.$$

Towards a contradiction, take the **plane wave**

$$u(x) = \eta(x \cdot \xi)v \implies \mathbb{A}u(x) = (\eta')(x \cdot \xi)\mathbb{A}[\xi]v = 0.$$

- Ellipticity **often** also suffices, but not always.

Sharp conditions on \mathcal{A} ?

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The case $(p, n) = (1, 2)$

Example (Ellipticity does not always suffice)

Let $\mathcal{A}[P] = \text{devsym}(P) = \text{sym}(P) - \frac{1}{n} \text{tr}(P) \mathbf{1}_n$.

→ put for $f \in C_c^\infty(\mathbb{R}^2)$

$$P_f = \begin{pmatrix} \partial_2 f & -\partial_1 f \\ \partial_1 f & \partial_2 f \end{pmatrix}$$

→ then

$$\text{devsym}[P_f] = \mathbf{0} \quad \text{and} \quad \text{Curl}(P_f) = \begin{pmatrix} -\Delta f \\ 0 \end{pmatrix}$$

→ validity of

$$\|P\|_{L^2(\mathbb{R}^2)} \lesssim \|\mathcal{A}[P]\|_{L^2(\mathbb{R}^2)} + \|\text{Curl}(P)\|_{L^1(\mathbb{R}^2)}$$

implies the contradictory

$$\|\nabla f\|_{L^2(\mathbb{R}^2)} \lesssim \|\Delta f\|_{L^1(\mathbb{R}^2)} \quad \text{for all } f \in C_c^\infty(\mathbb{R}^2).$$

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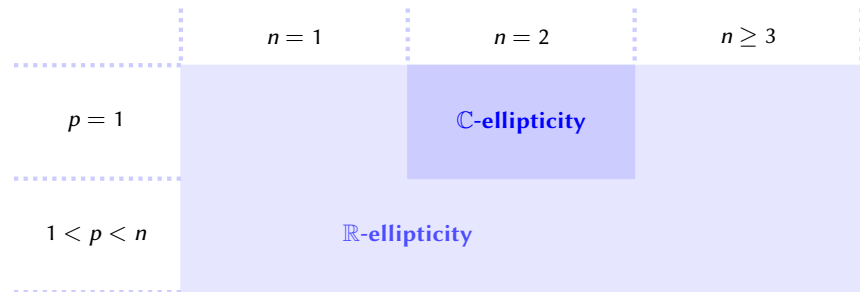
Sharp conditions – Main theorem

Theorem (FXG, Lewintan & Neff, '22)

For a linear map $\mathcal{A}: \mathbb{R}^{n \times n} \rightarrow \mathbb{R}^{n \times n}$ the KMS-inequality

$$\|P\|_{L^{p^*}(\mathbb{R}^n)} \lesssim \|\mathcal{A}[P]\|_{L^{p^*}(\mathbb{R}^n)} + \|\text{Curl}(P)\|_{L^p(\mathbb{R}^n)}, \quad P \in C_c^\infty(\mathbb{R}^n; \mathbb{R}^{n \times n})$$

is equivalent to $\mathbb{A}u := \mathcal{A}[Du]$ satisfying the following:



Two useful tools

Calderón-Zygmund-Korn-type inequalities

The following are equivalent for a first order differential operator \mathbb{A} :

- 1 \mathbb{A} is elliptic
- 2 $\|Du\|_{L^q} \lesssim_q \|\mathbb{A}u\|_{L^q}$ for all $1 < q < \infty$ and all $u \in C_c^\infty(\mathbb{R}^n; V)$.

Idea of proof: Write

$$\partial_j u \approx \mathcal{F}^{-1}[\xi_j (\mathbb{A}^*[\xi] \mathbb{A}[\xi])^{-1} \mathbb{A}^*[\xi] \widehat{\mathbb{A}u}]$$

and use Mihlin-Hörmander.

Fractional integration theorem (FIT)

Let $1 < p < n$. Then the Riesz potential

$$I_1 f(x) := \int_{\mathbb{R}^n} \frac{f(y)}{|x-y|^{n-1}} dy, \quad x \in \mathbb{R}^n,$$

is a bounded linear operator $I_1: L^p(\mathbb{R}^n) \rightarrow L^{p^*}(\mathbb{R}^n)$.

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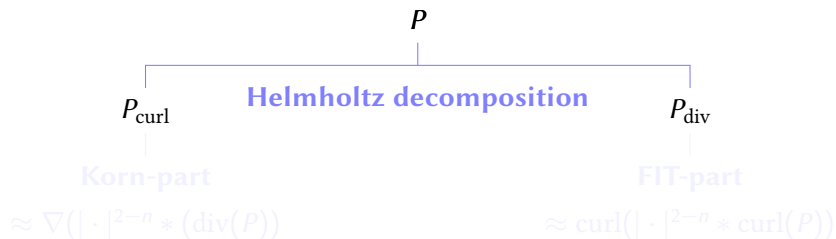
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The case $(p, n) \neq (1, 2)$

Idea: Reduction of KMS to Korn-type inequalities!

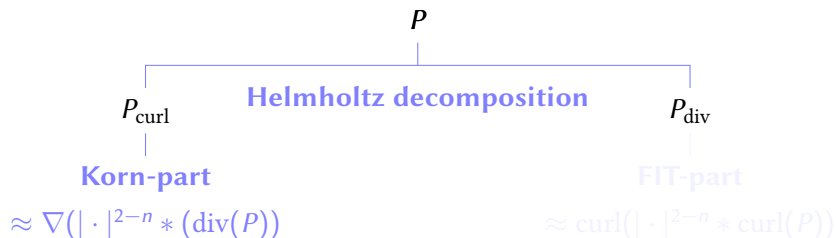


Korn-part

$$\begin{aligned} \|P_{\text{curl}}\|_{L^{p^*}} &= \|Du\|_{L^{p^*}} \lesssim \|\mathcal{A}[Du]\|_{L^{p^*}} \\ &= c\|\mathcal{A}[P - P_{\text{div}}]\|_{L^{p^*}} \lesssim \|\mathcal{A}[P]\|_{L^{p^*}} + \|P_{\text{div}}\|_{L^{p^*}}. \end{aligned}$$

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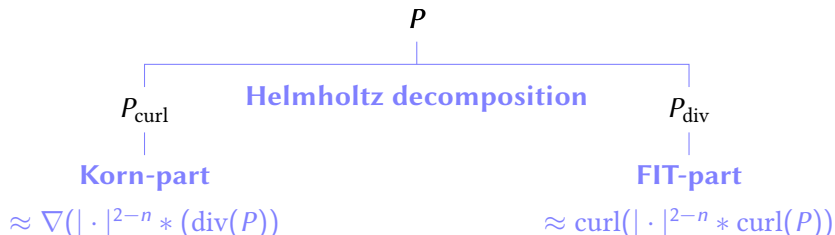


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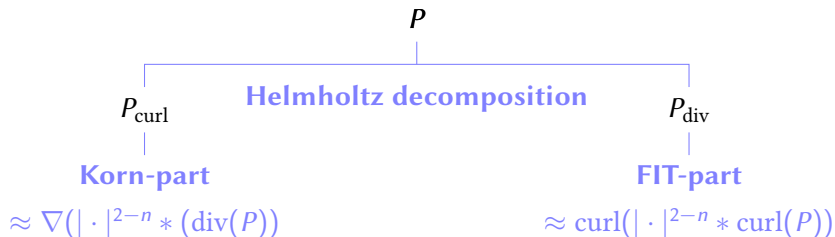


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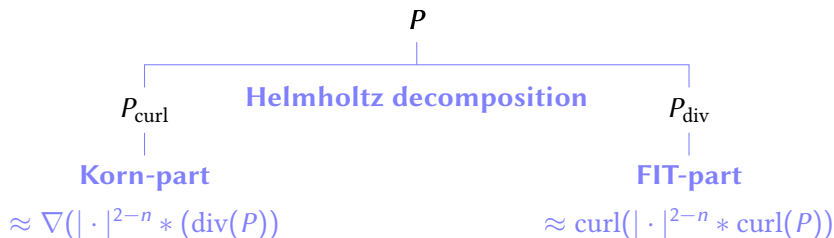


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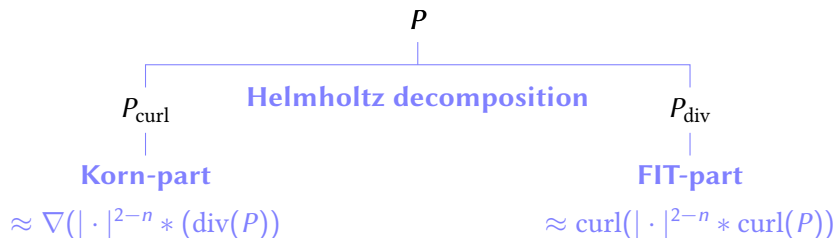
Fractional integration part

$$\begin{aligned} \|P_{\text{div}}\|_{L^{p^*}} &\lesssim \|I_1(\text{curl}(P))\|_{L^{p^*}} \\ &\lesssim \|\text{curl}(P)\|_{L^p} \end{aligned}$$

...but fails if $p = 1$!

The case $(p, n) \neq (1, 2)$

Idea: Reduction of KMS to Korn-type inequalities!



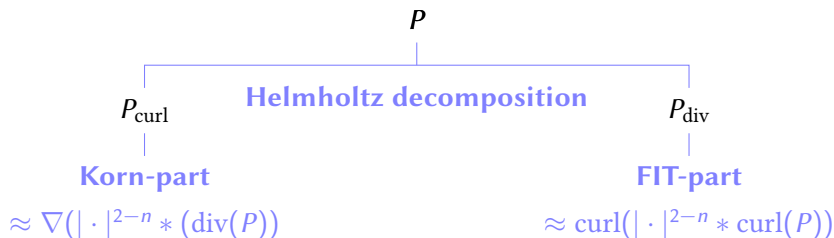
Fractional integration part

$$\begin{aligned} \|P_{\text{div}}\|_{L^{p^*}} &\lesssim \|I_1(\text{curl}(P))\|_{L^{p^*}} \\ &\lesssim \|\text{curl}(P)\|_{L^p} \end{aligned}$$

...but fails if $p = 1$!

The case $(p, n) \neq (1, 2)$

Idea: Reduction of KMS to Korn-type inequalities!



Bourgain-Brezis-type estimate

$$\begin{aligned}
 u \in C_{c,\text{div}}^\infty(\mathbb{R}^n; \mathbb{R}^n) &\implies \|u\|_{L^{1^*}} \lesssim \|\text{curl}(u)\|_{L^1(\mathbb{R}^n)} \\
 &\implies \|P_{\text{div}}\|_{L^{\frac{n}{n-1}}} \lesssim \|\text{Curl}(P_{\text{div}})\|_{L^1} = c\|\text{Curl}(P)\|_{L^1}.
 \end{aligned}$$

The case $(p, n) \neq (1, 2)$: Conclusion

$$\begin{array}{ccc}
 & \|P\|_{L^{p^*}} & \\
 & | & \\
 \|P_{\text{curl}}\|_{L^{p^*}} & \text{Helmholtz decomposition} & \|P_{\text{div}}\|_{L^{p^*}} \\
 | & & | \\
 \text{Korn-part} & & \text{FIT-part} \\
 \lesssim \|\mathcal{A}[P]\|_{L^{p^*}} + \|P_{\text{div}}\|_{L^{p^*}} & & \lesssim \|\text{Curl}(P)\|_{L^p}
 \end{array}$$

KMS-type inequalities

$$\|P\|_{L^{p^*}} \lesssim \|\mathcal{A}[P]\|_{L^{p^*}} + \|\text{Curl}(P)\|_{L^p} \quad \text{for all } P \in C_c^\infty(\mathbb{R}^n; \mathbb{R}^{n \times n}).$$

... but what if $(p, n) = (1, 2)$?

The case $(p, n) \neq (1, 2)$: Conclusion

$$\begin{array}{ccc}
 & \|P\|_{L^{p^*}} & \\
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 \lesssim \|\mathcal{A}[P]\|_{L^{p^*}} + \|P_{\text{div}}\|_{L^{p^*}} & & \lesssim \|\text{Curl}(P)\|_{L^p}
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... but what if $(p, n) = (1, 2)$?

Existence of good almost complementary parts

Theorem (Existence of good almost complementary parts)

Let $\mathcal{A}: \mathbb{R}^{2 \times 2} \rightarrow \mathbb{R}^{2 \times 2}$ be such that $\mathbb{A}u = \mathcal{A}[\nabla u]$ is \mathbb{C} -elliptic. Then there exist

- a linear map $\mathcal{L}: \mathbb{R}^{2 \times 2} \rightarrow \mathbb{R}^{2 \times 2}$,
- a linear map $\gamma: \mathbb{R}^{2 \times 2} \rightarrow \mathbb{R}$, and
- $\mathbf{Q} \in \text{GL}(2)$ such that

$$X - \mathcal{L}\mathcal{A}[X] = \gamma(X)\mathbf{Q} \quad \text{for all } X \in \mathbb{R}^{2 \times 2}.$$

Idea: $\mathcal{A}[\mathbf{e} \otimes \mathbf{f}] = \mathbb{A}[\mathbf{f}]\mathbf{e} =: \mathbf{e} \otimes_{\mathbb{A}} \mathbf{f}$. Need:

$$\exists \gamma_{ij} \text{ (not all } = 0) \exists \mathbf{Q} \in \text{GL}(2): \forall i, j: \mathbf{e}_i \otimes \mathbf{e}_j - \mathcal{L}(\mathbf{e}_i \otimes_{\mathbb{A}} \mathbf{e}_j) = \gamma_{ij}\mathbf{Q}$$

→ uses interplay between \mathbb{C} -ellipticity and cancellation.

Finishing the proof

Write

$$P = \mathcal{L}[\mathcal{A}[P]] + \gamma(P)\mathbf{Q} \quad \text{with } \mathbf{Q} \in \text{GL}(2)$$

and note that

$$\text{Curl}(\gamma(P)\mathbf{Q}) = \mathbf{Q} \begin{pmatrix} -\partial_2 \gamma \\ \partial_1 \gamma \end{pmatrix}$$

Then

$$\mathbf{F} := \mathbf{Q}^{-1} \text{Curl}(P) = \mathbf{Q}^{-1} \text{Curl}(\mathcal{L}[\mathcal{A}[P]]) + \cancel{\mathbf{Q}^{-1} \mathbf{Q}} \begin{pmatrix} -\partial_2 \gamma \\ \partial_1 \gamma \end{pmatrix}$$

and so with a second order differential operator \mathbb{B}

$$\text{div}(\mathbf{F}) = \text{div}(\mathbf{Q}^{-1} \text{Curl}(\mathcal{L}[\mathcal{A}[P]])) =: \mathbb{B}[\mathcal{A}[P]].$$

Bourgain-Brezis in two dimensions

$$\|f\|_{\dot{W}^{-1,2}} \lesssim \|\text{div}(f)\|_{\dot{W}^{-2,2}} + \|f\|_{L^1} \quad \text{for all } f \in C_c^\infty(\mathbb{R}^2; \mathbb{R}^2).$$

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$$\|\mathbf{F}\|_{\dot{W}^{-1,2}} \lesssim \|\text{div}(\mathbf{F})\|_{\dot{W}^{-2,2}} + \|\mathbf{F}\|_{L^1} \quad \text{for all } P \in C_c^\infty(\mathbb{R}^2; \mathbb{R}^{2 \times 2}).$$

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Bourgain-Brezis in two dimensions

$$\|\mathbf{F}\|_{\dot{W}^{-1,2}} \lesssim \|\mathbb{B}[\mathcal{A}[P]]\|_{\dot{W}^{-2,2}} + \|\mathbf{F}\|_{L^1} \quad \text{for all } P \in C_c^\infty(\mathbb{R}^2; \mathbb{R}^{2 \times 2}).$$

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Bourgain-Brezis in two dimensions

$$\|\text{Curl}(P)\|_{\dot{W}^{-1,2}} \lesssim \|\mathcal{A}[P]\|_{L^2} + \|\text{Curl}(P)\|_{L^1} \quad \text{for all } P \in C_c^\infty(\mathbb{R}^2; \mathbb{R}^{2 \times 2}).$$

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$(p, n) = (1, 2)$: Conclusion

- Now put $f := \text{Curl}(P)$ and solve $-\Delta u = f$. Then

$$\begin{aligned} \|Du\|_{L^2(\mathbb{R}^2)} &\lesssim \|(-\Delta u)\|_{\dot{W}^{-1,2}(\mathbb{R}^2)} = c\|f\|_{\dot{W}^{-1,2}(\mathbb{R}^2)} \\ &= c\|\text{Curl}(P)\|_{\dot{W}^{-1,2}(\mathbb{R}^2)} \\ &\lesssim \|\mathcal{A}[P]\|_{L^2} + \|\text{Curl}(P)\|_{L^1} \end{aligned}$$

- Introduce

$$T = \begin{pmatrix} \partial_2 u_1 & -\partial_1 u_1 \\ \partial_2 u_2 & -\partial_1 u_2 \end{pmatrix}$$

$$\text{then: } \|T\|_{L^2(\mathbb{R}^2)} \lesssim \|Du\|_{L^2(\mathbb{R}^2)} \lesssim \|\mathcal{A}[P]\|_{L^2} + \|\text{Curl}(P)\|_{L^1}$$

- $\text{Curl}(T - P) = -\Delta u - f = 0$, hence $T - P = Dv$, so

$$\begin{aligned} \|P\|_{L^2(\mathbb{R}^2)} &\leq \|T - P\|_{L^2(\mathbb{R}^2)} + \|T\|_{L^2(\mathbb{R}^2)} \\ &\lesssim \|Dv\|_{L^2(\mathbb{R}^2)} + \|\mathcal{A}[P]\|_{L^2(\mathbb{R}^2)} + \|\text{Curl}(P)\|_{L^1(\mathbb{R}^2)} \end{aligned}$$

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Main Theorem:

Many thanks for your attention!