# Synthetic (metric) methods in General Relativity and Lorentzian geometry

Part II: Applications

#### Working Seminar "Mathematical Physics" University of Regensburg

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 $X \text{ set}, \leq \text{preorder on } X, \ll \text{transitive relation contained in } \leq, d \text{ metric on } X, \tau \colon X \times X \to [0, \infty] \text{ lower semicontinuous (with respect to } d)$ 

#### Definition

 $(X, d, \ll, \leq, \tau)$  is a Lorentzian pre-length space if

 $\tau(x,z) \ge \tau(x,y) + \tau(y,z) \qquad (x \le y \le z),$ 

and  $\tau(x,y) = 0$  if  $x \leq y$  and  $\tau(x,y) > 0 \Leftrightarrow x \ll y$ ;  $\tau$  is called *time separation function* 

examples

• smooth spacetimes (M,g) with usual time separation function  $\tau(p,q) := \sup\{L_g(\gamma) : \gamma \text{ f.d. causal from } p \text{ to } q\}$ 

finite directed graphs

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joint work with Grant, Kunzinger AGAG 2019

When is a spacetime *maximal*? (i.e. no *isometric embedding* into larger spacetime)

#### Theorem

X strongly causal Lorentzian length space s.t. all inextendible timelike geodesics have infinite  $\tau$ -length, then X is inextendible as a regular Lorentzian length space

### Corollary

(M,g) strongly causal, smooth and timelike geodesically complete spacetime, then (M,g) is inextendible as a regular Lorentzian length space

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# Generalized cones as Lorentzian length spaces: Causality, curvature, and singularity theorems

joint work with S. Alexander, M. Graf, M. Kunzinger, Comm. Anal. Geom. to appear, 2021

# Generalized cones (1/2)

#### Definition (Generalized cones)

Given a metric space (X, d), an open interval I, and a continuous function  $f: I \to (0, \infty)$ , we call  $Y = I \times_f X$  a generalized cone or warped product with one-dim. base and f warping function.

 $\gamma = (\alpha, \beta) : J \to Y$  absolutely continuous (w.r.t. the product topology on  $I \times X$ ) then  $\alpha$ ,  $\beta$  AC and  $\dot{\alpha}$  metric derivative  $v_{\beta}$  of  $\beta$  exist almost everywhere

$$v_{\beta}(t) := \lim_{s \to 0} \frac{d(\beta(t+s), \beta(t))}{|s|}, \text{ satisfies } L(\beta) = \int_{J} v_{\beta}$$

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a.e. and  $\gamma$  is *future/past directed causal* if  $\dot{\alpha} > 0$  or  $\dot{\alpha} < 0$  a.e. *length* of a causal curve  $L(\gamma) := \int_a^b \sqrt{\dot{\alpha}^2 - (f \circ \alpha)^2 v_\beta^2}$ 

For this talk: (X,d) locally compact geodesic length space

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- fiber component  $\beta$  minimizing in (X, d)
- converse ( $\beta$  min.  $\implies \gamma$  max.) does not hold! (problem: right parametrization of  $\alpha$ )
- Fiber independence: base component  $\alpha$  depends *only* on length of  $\beta$ (independent of  $\beta$ , (X, d) otherwise) I.e., take any other (X', d') and maximizing curve  $\beta'$  with  $L^d(\beta) = L^{d'}(\beta')$  and  $v_\beta = v_{\beta'}$ , then  $\gamma' = (\alpha, \beta')$  is maximizing causal/timelike for  $I \times_f X' \implies \tau(p, q)$  depends only on  $p_0, q_0, d_X(\bar{p}, \bar{q})$  (and f)!

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•  $h_{p_0} \colon (a_{p_0}, b_{p_0}) 
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$$\frac{d}{ds}h_{p_0} = f \circ h_{p_0}, \qquad h_{p_0}(0) = p_0$$

Then:  $h_{p_0}$  is strictly increasing, bijective and  $C^1$  and

 $I^+((p_0,\bar{p})) = \{(q_0,\bar{q}) \in Y : \, d(\bar{p},\bar{q}) < b_{p_0} \text{ and } q_0 > h_{p_0}(d(\bar{p},\bar{q}))\}$ 

In particular, I<sup>+</sup> open and push-up holds

- ∂I<sup>+</sup>((p<sub>0</sub>, p
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   & its height depends only on the distance to p
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- If X is geodesic:  $J^+ = I^+ \cup \partial I^+$

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- I<sup>+</sup> open and push-up? (Not necessarily in low regularity, cf. bubbling examples by Chrusciel & Grant '12)
- $h_{p_0} \colon (a_{p_0}, b_{p_0}) \to I$  as the unique maximal solution of the ODE

$$\frac{d}{ds}h_{p_0} = f \circ h_{p_0}, \qquad h_{p_0}(0) = p_0$$

Then:  $h_{p_0}$  is strictly increasing, bijective and  $C^1$  and  $I^+((p_0, \bar{p})) = \{(q_0, \bar{q}) \in Y : d(\bar{p}, \bar{q}) < b_{p_0} \text{ and } q_0 > h_{p_0}(d(\bar{p}, \bar{q}))\}$ 

- In particular,  $I^+$  open and push-up holds
- $\partial I^+((p_0,\bar{p})) = \partial J^+((p_0,\bar{p})) = \text{continuous graph over } B_{b_{p_0}}(\bar{p}) \subseteq X$ & its height depends only on the distance to  $\bar{p}!$
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#### Theorem

X curvature bounded below (above) by K,  $I \times_f \mathbb{M}^2(K)$  timelike curvature bounded below (above) by K', then  $Y = I \times_f X$  timelike curvature bounded below (above) by K'

Special case: f smooth, then  $I \times_f \mathbb{M}^2(K)$  smooth Lorentzian manifold  $\rightsquigarrow$  sectional curvatures easily computable

 $f'' - K'f \le 0$  and X curv. bounded below by  $K = \sup K'f^2 - (f')^2 \implies I \times_f X$  timelike curvature bounded below by K'

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incomplete timelike geodesics (i.e., inextendible timelike geodesics of finite length) in generalized cone? if  $f \to 0$  in finite time  $(I \neq (-\infty, \infty))$  properties of f from timelike curvature bounds?

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- $\bullet$  Assume not, then  $\exists K'>K, J\subset I \text{ s.t. } f''>K'f$  on J
- Look at  $Y' := J \times_f \mathbb{R} \rightsquigarrow$  smooth, has TL sectional curvature  $\mathcal{R} = \frac{f''}{f} > K' \implies Y'$  has TL curv. bounded above by K' > K
- Fiber independence  $\implies$  for timelike comparison triangles  $\Delta' \in Y'$ with x'z'-side perpendicular to  $\mathbb{R}$  and  $\Delta \in Y$  with xz-side "perpendicular" to X (and  $q' \in y'z'$ ,  $q \in yz$ ):

$$\tau_{Y'}(x',q') = \tau_Y(x,q)$$

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# A Lorentzian analog for Hausdorff dimension and measure

joint work with Robert J. McCann, preprint 2021

## Hausdorff measures and dimension

#### Definition

(X,d) metric space,  $A\subseteq X$ ,  $\delta>0,~N\in[0,\infty)$ 

$$\mathcal{H}^{N}_{\delta}(A) := \inf\{c_{N} \sum_{i} \operatorname{diam}(A_{i})^{N} : A \subseteq \bigcup_{i} A_{i}, \operatorname{diam}(A_{i}) \le \delta\}$$

*N*-dimensional Hausdorff measure  $\mathcal{H}^N(A) := \sup_{\delta > 0} \mathcal{H}^N_{\delta}(A)$ 

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Hausdorff dimension  $\dim^H(A) := \inf\{N \ge 0 : \mathcal{H}^N(A) = 0\}$ 

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Hausdorff dimension 
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### Theorem (Cheeger-Colding 1997)

 $(M_n, g_n, p_n)_n$  sequence of pointed (complete, connected) Riemannian mf., same dim. N, *Ricci curvature* uniformly bounded below,  $(M_n, g_n, p_n) \rightarrow (X, d, p)$  pointed Gromov-Hausdorff  $\Rightarrow$  either  $\bigcirc$   $\operatorname{vol}^{g_n}(B_1^{M_n}(p_n)) \rightarrow 0$  (collapsed) or

②  $\inf_n \operatorname{vol}^{g_n}(B_1^{M_n}(p_n)) > 0$  (non-collapsed); in this case  $\dim^H(X) = N$ ,  $\mathcal{H}^N(X) > 0$  and *renormalized limit measure* =  $c \mathcal{H}^N$ 

 $\sim 
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(X, d, m) metric measure space, synthetic lower Ricci curvature bounded by  $K \in \mathbb{R}$  and dimension bounded above by  $N \rightsquigarrow CD(K, N)$  (using optimal transport, convexity/concavity of functionals on the space of probability measures) (Lott-Villani 2009, Sturm 2006) *Riemannian condition*  $\rightsquigarrow RCD(K, N)$ -spaces (Ambrosio-Gigli-Savaré 2014)

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### Definition (DePhilippis-Gigli 2018)

 $\mathsf{RCD}(\mathsf{K},\mathsf{N})$ -space (X,d,m) is *non-collapsed* if  $m=\mathcal{H}^N$ 

### Theorem (Brué-Semola 2019)

(X, d, m) metric measure space satisfying  $\mathsf{RCD}(K, N)$  $(K \in \mathbb{R}, N \in (1, \infty)) \Rightarrow \exists k \in \mathbb{N} : 1 \le k \le N \text{ s.t. } m|_R \text{ a.c. wrt } \mathcal{H}^k$  and  $m(X \setminus R) = 0$ 

Bakry-Émery Ricci tensor:  $\operatorname{Ric}_V^N := \operatorname{Ric} + \operatorname{Hess}(V) - \frac{dV \otimes dV}{N-n}$  amounts to replacing  $d\operatorname{vol}^g$  by  $e^{-V} d\operatorname{vol}^g \rightsquigarrow$  generalization to non-smooth setting  $e^{-V} d\mathcal{H}^N$ 

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(X, d, m) metric measure space satisfying  $\mathsf{RCD}(K, N)$  $(K \in \mathbb{R}, N \in (1, \infty)) \Rightarrow \exists k \in \mathbb{N} : 1 \le k \le N \text{ s.t. } m|_R \text{ a.c. wrt } \mathcal{H}^k$  and  $m(X \setminus R) = 0$ 

Bakry-Émery Ricci tensor:  $\operatorname{Ric}_V^N := \operatorname{Ric} + \operatorname{Hess}(V) - \frac{dV \otimes dV}{N-n}$  amounts to replacing  $d\operatorname{vol}^g$  by  $e^{-V} d\operatorname{vol}^g \rightsquigarrow$  generalization to non-smooth setting  $e^{-V} d\mathcal{H}^N$ 

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### Lorentzian analog of Hausdorff measures

#### Definition

 $X \text{ set, } \leq \text{preorder on } X \text{, } \tau \colon X \times X \to [0,\infty] \text{, } J(x,y) := J^+(x) \cap J^-(y)$ 

$$\rho^N(J(x,y)) := \omega_N \tau(x,y)^N$$

 $\omega_N:=\frac{\pi^{\frac{N-1}{2}}}{N\,\Gamma(\frac{N+1}{2})2^{N-1}}\text{, }\Gamma\text{ Euler's gamma function, }N\in[0,\infty)$ 

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i N \geq 2$ :  $ho^N(J(x,y)) =$  vol. CD in N-dim Minkowski w eq. time-sep.

#### Definition

X as above, d metric on X,  $A \subseteq X$ ,  $\delta > 0$ ,  $N \in [0, \infty)$ 

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N-dimensional Lorentzian measure  $\mathcal{V}^N(A) := \sup_{\delta > 0} \mathcal{V}^N_{\delta}(A)$ 

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## Synthetic dimension

#### Definition

 $(X,d,\ll,\leq,\tau)$  Lorentzian pre-length space,  $A\subseteq X,$  the synthetic dimension of A is

$$\dim^{\tau}(A) := \inf\{N \ge 0 : \mathcal{V}^N(A) < \infty\}$$

#### Proposition

X locally d-uniform  $(\tau = o(1))$  Lorentzian pre-length space,  $A \subseteq X$  $N = \dim^{\tau}(A)$  if and only if  $\forall k < N < K$ :  $\mathcal{V}^{k}(A) = \infty$ ,  $\mathcal{V}^{K}(A) = 0$ ; thus

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### Null curves are zero-dimensional

 $\gamma\colon [a,b]\to X \text{ future directed } \frac{\textit{null}}{\textit{curve in strongly causal Lorentzian pre-length space: } \dim^\tau(\gamma([a,b]))=0$ 

#### Proposition

 $\gamma \colon [a,b] \to X$  f.d. *causal* curve, X strongly causal:  $\mathcal{V}^1(\gamma([a,b])) \leq L_{\tau}(\gamma)$ ; all causal diamonds J(x,y) *closed* (e.g. X is globally hyperbolic), then

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Countable sets are zero dimensional and measured by their cardinality

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### Dimension and measure of Minkowski subspaces

#### Lemma

*restriction* of  $\mathcal{V}^k$  to spacelike subspace of Minkowski spacetime  $\mathbb{R}^n_1$  with algebraic dimension k is *positive multiple of Hausdorff measure* 

Linear *null* hypersurfaces have geometric *codimension two* 

#### Lemma

 $n \geq 2, S \subset \mathbb{R}^n_1$  null subspace of algebraic dimension  $k \neq n$ , then  $\dim^{\tau}(S) = k - 1$  and Lorentzian measure splits as  $\mathcal{V}^{k-1} = c \mathcal{H}^{k-1} \times \mathcal{H}^0$ on  $S = R \times \mathbb{R}\nu$ , where R spacelike subspace of  $S, \nu \in S$  null vector

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### Theorem

- $\mathcal{V}^n = \mathrm{vol}^g$
- $\dim^{\tau}(M) = n$
- use appropriate cylindrical neighborhoods
- machinery of Federer: Geometric measure theory 1969
- *doubling* of causal diamonds and doubling of vol<sup>g</sup>

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### Definition

(X,d) metric space, Borel measure  $\mu$  on X is *doubling* if  $\exists C\geq 0:$   $\forall x\in X,\ r>0$ 

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### Theorem (well-known)

(X,d) metric space,  $\mu$  doubling measure with doubling constant  $C\Rightarrow \dim^H(X) \leq \log_2(C)$ 

### Theorem (Sturm 2006)

(X, d, m) metric measure space satisfying  ${\rm CD}(K, N) \Rightarrow m$  (locally) doubling and  $\dim^H(X) \le N$ 

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 $\mathbb{R}^{n-1}$ 



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- $W = (0, B) \times Z$
- 3  $\partial_t = \partial_{x^0}$  unif. TL
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cylindrical nhd. W:  $\operatorname{vol}^g(J(\hat{p}, \hat{q}, W)) \leq L \operatorname{vol}^g(J(p, q))$ 

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## Synthetic TL Ricci curvature bounds and doubling

$$B_r^\tau(x) := \{ y \in X : \tau(x,y) < r \}, \ E_r := E \cap \overline{B_r^\tau(x)}$$

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glob. hyp. locally causally closed measured Lorentzian length space sat. wTCD<sup>e</sup><sub>p</sub>(K, N) ( $K \in \mathbb{R}, N \in [1, \infty)$ ,  $p \in (0, 1)$ )  $\Rightarrow \exists L = L(K, N) \geq 1$ :  $\forall x_0 \in X, E \subseteq I^+(x_0) \cup \{x_0\}$  comp.,  $\tau$ -star-shaped wrt  $x_0, r > 0$  small

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does *NOT* imply doubling for causal diamonds!

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Clemens Sämann, University of Vienna Working Seminar "Mathematical Physics"

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(M,g) cont., glob. hyp. TL non-branching, causally plain spacetime sat.  $\mathsf{TMCP}_{\mathsf{p}}^{\mathsf{e}}(K,N)$  wrt  $\mathrm{vol}^{g}$   $(K \in \mathbb{R}, N \in [1,\infty), \mathsf{p} \in (0,1))$ (+causally-reversed)  $\Rightarrow$ 

### $\dim(M) = \dim^{\tau}(M) \le N$

Clemens Sämann, University of Vienna Working Seminar "Mathematical Physics"
## Synthetic TL Ricci curvature bounds and doubling

$$B_r^\tau(x) := \{ y \in X : \tau(x, y) < r \}, \ E_r := E \cap \overline{B_r^\tau(x)}$$

#### Lemma

glob. hyp. locally causally closed measured Lorentzian length space sat. wTCD<sup>e</sup><sub>p</sub>(K, N) (K  $\in \mathbb{R}$ , N  $\in [1, \infty)$ , p  $\in (0, 1)$ )  $\Rightarrow \exists L = L(K, N) \geq 1$ :  $\forall x_0 \in X, E \subseteq I^+(x_0) \cup \{x_0\}$  comp.,  $\tau$ -star-shaped wrt  $x_0, r > 0$  small

 $m(E_{2r}) \le L m(E_r)$ 

does *NOT* imply doubling for causal diamonds!

#### Theorem

(M,g) cont., glob. hyp. TL non-branching, causally plain spacetime sat.  $\mathsf{TMCP}_{\mathsf{p}}^{\mathsf{e}}(K,N)$  wrt  $\mathrm{vol}^{g}$   $(K \in \mathbb{R}, N \in [1,\infty), \mathsf{p} \in (0,1))$ (+causally-reversed)  $\Rightarrow$ 

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